

Session #1



Our Foundation: The 10 Key Components of Drug/DUI Courts

🔑 Key Component #1:

- Drug/DUI courts integrate _____ and _____
_____ with justice system case processing

🔑 Key Component #2:

- Using a _____, prosecution and defense
counsel promote _____ while _____

🔑 Key Component #3:

- _____ are identified and placed in the
program as _____

🔑 Key Component #4:

- Drug/DUI courts provide access to a _____ of _____
_____ and
rehabilitation services

🔑 Key Component #5:

- Abstinence in all treatment courts/programs is monitored by _____
_____ and _____ drug and alcohol testing.

🔑 Key Component #6:

- A _____ governs _____ to
participants' compliance.

🔑 Key Component #7:

- Ongoing _____ with each participant
is _____ .

🔑 Key Component #8:

- _____ and _____ measure the
achievement of program _____

🔑 Key Component #9:

- Continuing _____ promotes effective _____, _____, and _____.

🔑 Key Component #10:

- Forging partnerships among _____, _____, and _____ organizations generates support and _____.



Session #2

Core Competencies for the Prosecutor in Alternative Courts

Core Competencies of the Prosecutor in Alternative Courts

(from Adult DCPI Trainings)

➤ CORE COMPETENCY #1:

_____ as a Drug Court team member,
committing him or herself to the _____ and
works as a full partner to ensure their success.

➤ CORE COMPETENCY #2:

The prosecutor participates as a team member, operating in a
_____, promoting a sense
of a unified team presence.

➤ CORE COMPETENCY #3:

As part of the Drug court team, in appropriate non-court settings (i.e. staffing),
the prosecutor advocates for _____ and
_____ for program compliance or lack thereof.

➤ CORE COMPETENCY #4:

Ensures _____ concerns by maintaining eligibility standards while participating in a non-adversarial environment which focuses on the benefits of _____ outcomes.

➤ CORE COMPETENCY #5:

Monitors _____ to define parameters of behavior that allow continued program participation and makes the final determination on _____ .

➤ CORE COMPETENCY #6:

Is knowledgeable about _____, _____ and _____ generally and applies that knowledge to respond to compliance in a therapeutically appropriate manner.

➤ CORE COMPETENCY #7:

Is knowledgeable of _____, _____ and _____ issues that may impact the offender's success.

➤ CORE COMPETENCY #8:

Contributes to the team's efforts in _____ and local _____ acquisition.

➤ CORE COMPETENCY #9:

Contributes to education of _____, _____ and the _____ on the efficacy of Drug Courts.

Session #3



The A+ Prosecutor in Alternative Courts

❖ Many Roles of the Prosecutor in Problem Solving Courts

- _____ , referral source
- Deny _____ when _____ is threatened
- Monitor _____
- Monitor and encourage _____ as informed by _____
- Coordinate efforts with _____
- Community _____
- Act as a support and cheerleader for _____
- Participate in the _____ use of sanctions and incentives
- Find _____
- Smile!!!
- Use _____ to persuade a different _____ : _____
- Support participant progress without _____
- Protect _____ and the _____
- Tailor responses and thinking for _____

❖ An Effective Prosecutor has a Different Mindset

- _____ approach
- Teamwork with the _____ , defense attorneys, and the _____ team
- Works toward _____ rather than incarceration
- Belief in the effectiveness of alternative courts

❖ **Proactive: What's it mean?**

- _____ and _____ oriented behavior, instead of one that waits for things to happen and then tries to adjust (react)
- Identifies and explores _____
- Takes _____ against potential problems rather than solving a problem after it occurs

❖ **Practical ways to be a proactive prosecutor**

➤ **#1: Encouraging Applicants**

- ❖ Become active in _____ defendants and _____ to drug court
 - Work with Court Services or other agency _____ to pre-screen possible applicants
 - Notify the _____ and/or _____ that a person might be a good candidate for an alternative court (not a guarantee)
 - Scan _____ or _____ ready to be filed for potential candidates

➤ **#2: Educate Your Office & Other Prosecutors**

- ❖ Write _____ for local criminal law publications
- ❖ Hold _____ for all assistant district attorneys in your office
 - Who are _____ for the program
 - Admission procedures
 - Get a group associated with alternative courts to provide lunch
- ❖ Try to encourage the administration to allow _____ to _____ you for a day or two

➤ **#3: Encourage Pro-Social Behavior and Graduation**

- ❖ Orientation _____
- ❖ Reminders at _____ dockets
 - Holidays
 - _____ if you see trends (i.e. K2 use)
 - Be present in staffing and at review dockets
- ❖ Reward participants at _____ (*post-plea adjudication)
- ❖ Attend _____

❖ **Wrapping It Up:**

- Maintain a mindset of working with your team on _____ approaches toward _____ and _____, rather than incarceration
- Continue to _____ yourself about best practices, _____, alcoholism, and pharmacology
- Make efforts to educate _____, colleagues, the _____, and the community on the efficacy of Drug Courts (write articles, hold training events)
- Seek ways to _____ more applicants
- Be present at _____ and at _____ as much as possible
- Encourage _____ and Graduation (orientation speech, recognize promotions, attend graduation)

CLIENT INFORMATION SHEET

DATE	ARREST DATE	INTERVIEWER	CASE NUMBER (S)	JUDGE ASSIGNED	STATE BOND	CITY BOND	ATTORNEY/ PD	
NAME (LAST)		FIRST	MIDDLE	ALIASES / Maiden/Other Names Used:		Social Security Number:		
RACE	SEX	HEIGHT	WEIGHT	HAIR	EYES	AGE	DOB	
ADDRESS IF RELEASED:			CITY	STATE	ZIP CODE	COUNTY	How Long At Address:	
Your Cell #:	Do you Own/Rent Your Home:	Landlord / Homeowner Name:	Name of Person You Are Living With:	Relationship to this Person:	Phone # of House / Cell / Work for Person You Are Living With:			
Landlord / Homeowner Phone #:		Name of Apt. / Housing Add.:	Public Housing? Y/N	Marital Status: Single / Divorced / Widowed / Living With / Dating				
Name of Partner/Significant Other:		Home Address for Significant Other:	Phone Number for Significant Other: Home / Cell:		Significant Other's Age:			
Significant Other's Employer:	Significant Other's Work Phone #:		Significant Other's Work Address or Nearest Intersection:		# of Children You Have-Age / Gender:			
Name of Person who has custody of Children:	Their Relationship to Children:		Additional comments regarding significant other or children:					
Your Mother's Name:		Mother's Address:			Mother's Home # / Cell #:			
Mother's Employer:		Mother's Work Address		Job Title:	Mother's Work Phone #:			
Your Father's Name:		Father's Address:			Father's Home # / Cell #:			
Father's Employer:		Father's Work Address		Job Title:	Father's Work Phone #:			
Family References (Please DO NOT Repeat Any Names Listed Above)								
Reference Name:	Relationship	Address:			Phone #s Home / Cell / Work:			
Reference Name:	Relationship	Address:			Phone #s Home / Cell / Work:			
Reference Name:	Relationship	Address:			Phone #s Home / Cell / Work:			
Most Recent Employer or Current Employer:		Address:		Employer's Ph #:	Your Job Title:			
How Long Employed There:	Can You Return to Employment:	If Unemployed-For How Long:		Reason for Leaving Employment:				
Receiving SSI / SSDI / Welfare Income:	If On SSI / SSDI - Diagnosis or Disability:			Currently on Supervised Probation / Parole:				
Probation / Parole Officer Name:	Agency:	Pending Cases In This County: Any Other Counties? Any Other States?		Case Number (If Known):	Description of Offense:			
Other Pending Cases- Next Court Date(s):	Form of transportation used and who it belongs to if not yours?		YEAR/MAKE/MODEL/COLOR/TAG # (if you use vehicle for transportation):					
Scars / Tattoos / Physical Oddities: Where & What						Veteran: Yes / No		
Additional References		Relationship	Address			Phone #		
Additional Comments:								

PRETRIAL RISK ASSESSMENT

Defendant Name: _____ Date of Offense: _____

Case Number: _____ Date of Assessment: _____

Bond Set: _____ Officer Completing Risk Form: _____

Verified

1. What was the age of the defendant at first arrest? If unknown, use first conviction.
0 = If the defendant was 33 or older
1 = If the defendant was 32 or younger

2. Does the defendant have two or more prior failure to appear warrants filed?
0 = No
1 = Yes

3. How many "failure to appear" warrants have been filed in the last 24 months?
0 = None
1 = A single failure to appear in the last 24 months
2 = Two or more failure to appears in the last 24 months

4. Did the defendant have 3 or more prior jail incarcerations (sentenced not pretrial detention)?
0 = No
1 = Yes
Number of prior prison incarcerations: _____

5. Was the defendant employed at the time of arrest?
0 = Defendant is employed full-time, disabled, retired, student (31 + hours)
1 = Defendant is employed part-time (10 - 30 hours)
2 = Defendant is unemployed
Defendant on public welfare: _____
Job start date within the last two months: _____

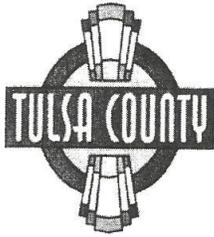
6. Has there been any illegal drug use in the last 6 months?
0 = No
1 = Yes

7. Defendant response to rating the following statement on a scale of 1 (non/not at all) to 5 (lots of problems):
"Drug use has caused problems in my life in the last 6 month"
0 = No (1-3)
1 = Yes (4-5)

8. Has the defendant lived at the current residence for 6 months or more?
0 = Yes
1 = No
If current residence is outside Tulsa County list where: _____

A score of 0-3 = LOW
A score of 4-7 = MEDIUM
A score of 8-10 = HIGH

Total Score



Tulsa County Division of Court Services

A Division of the Board of County Commissioners
Tulsa County Administration Bldg. • 500 S. Denver Street
Tulsa Oklahoma 74103-3832 • (918) 596-5795

Director
Sherri Carrier

CONSENT FORM

I _____, the undersigned, agree to be interviewed by Tulsa County Court Services to provide information that is to be used by Tulsa County Court Services and the Court to determine eligibility for Pretrial Release and/or an Electronic Monitoring Device. I consent to the release of any information pertaining to my social history, employment, financial history, mental health and/or substance abuse treatment, and my juvenile record to Tulsa County Court Services.

I attest that the information that I provide to Tulsa County Court Services is true and accurate to the best of my knowledge.

Defendant signature

Witness signature

Date

**TULSA COUNTY COURT SERVICES
BACKGROUND INVESTIGATION COVER SHEET**



CLIENT INFORMATION

BACKGROUND PREPARED BY:			DATE:
SOBRIETOR:	REG. PRE-TRIAL:	GPS:	ARREST DATE:
DEFENDANT'S NAME:			
AKA OR MAIDEN NAME:			
D.O.B.:	DLM#:	DOCKET#:	I/C CELL#:

DISTRICT COURT INFORMATION

JUDGE:	COURTROOM:		
COURT DATE:	COURT TIME:		
FELONY CASE #:	ADDL. CASE #:		
CURRENT CHARGE:			
ARR:	PRELIM:	DCA:	JTSD:
ATTORNEY:			
CODEFENDANTS:			

CRIMINAL HISTORY

PRE-TRIAL HISTORY: N/A
DA HISTORY (JAIL NET):
OCIS:
ODCR:
DOC:
TRACIS:
NCIC:
ADDITIONAL COMMENTS:

SPECIALTY COURTS

CSO:	DRUG CRT:	VA CRT:	WIR:	MH CRT:
LSI-SV SCORE:	MHX:	DA SUPER:		
FCS REFERRAL SENT:	DATE:	DECLINE:		
REFERRAL SOURCE	CS:	PD:	IR:	OTHER:
PRE-SCREENED/NOT REFERRED:		PRIOR FELONY CONVICTIONS:		

Session #4



Constitutional & Other Legal Issues in Treatment Courts

➤ **Refusal to Offer Drug Court:**

➤ **Waiver of 4th Amendment Rights:**

➤ **Area Restrictions:**

➤ **Association Restrictions:**

➤ **1st Amendment and AA/NA Meetings:**

➤ **Drug Testing and Due Process:**

➤ **Confidentiality and Professional Ethics**

➤ **Sanctions and Due Process:**

➤ **Termination and Due Process:**

➤ **Waiver of Hearing Rights:**

➤ **Judicial Impartiality:**

Session #5



The Prosecutor and Defense Counsel: An Unlikely Couple

❖ Alternative Courts' Culture Requires Changing Roles for Prosecutors and Defense Attorneys

- Remain _____ while being part of a collaborative team
- _____ as defendants move from application, assessment, participation, to completion or termination
- Cultural and philosophic change to a _____; working towards the same goals
- Both parties must _____ in the midst of the _____

❖ For the Prosecutor

- Protect and promote _____
- Must adopt a _____ approach
- Understand that it is not soft on crime (hug a thug courts, happy clappy courts)
- _____ about the programs and the successes
- "Buy in" from the prosecutor required for program success
 - When prosecutors _____, graduation rates rise from 43% to 58%
 - When prosecutors _____, graduation rates rose from 46% to 55%
- Actively encourage graduation and _____

❖ Pre-Plea / Assessment

➤ Prosecutor

- _____ remains primary concern
- Ensures prompt legal and _____ screens are conducted
- Fidelity to Risk-Need-_____

➤ PD/Defense Counsel

- Identify defendants who meet _____ & promptly recommends offenders to alternative court when appropriate
- Assures the _____ of the client from a legal perspective
- Seek _____ to charges if necessary
- Assures that the defendant is _____ of the _____ of the program prior to entry/plea into it

➤ Both

- Defendant _____ from the program
- Ensure _____ are validated and properly administered
- Shared goal to place defendants in the _____ to incarceration
- Ensures that all waivers and contracts are legal and uphold _____ rights

❖ When the Defendant is a Participant

➤ Prosecutor

- Demands participant _____ and protection of the public
- Advocates for _____ and prompt sanctions
- Monitors participant _____ for continued eligibility

➤ PD/Defense Counsel

- Shields the client from _____
- Serves as the ' _____ of the client'
- Encourages clients to be _____ in recovery (never breaching attorney-client privilege)
- Insures _____ protections

➤ Both

- Collaboration
- _____
- Shared _____
 - success for defendant
 - good stats for program
- Appropriate Sanctions & _____
- Participate as _____ members at staffing and at court (even for other defendants)

❖ Incentives and Sanctions

➤ Incentives

- Both parties can suggest incentives for _____ at staffing
- Both parties want to encourage sobriety, _____ behavior, and responsible _____ and should recognize such through incentives
- Both parties should try to be careful that _____ are given far more often than sanctions

➤ Sanctions

- Both parties should strive to help make sure sanctions are imposed _____ as possible after the infraction
- All sanctions should _____ – tie the sanction to the unwanted behavior
- Defense counsel must ensure the protection of rights when a person's liberty or _____ is taken away (waivers, notice, hearings)

❖ Termination or Completion

➤ Prosecutor

- Seeks termination based on factual history of _____
- _____ and takes into account the input of the whole team

- Gives _____ to the defendant and his/her attorney

➤ **PD/Defense Counsel**

- Staff any more _____ with team
- Ensure that all alleged violations of the program are _____
- Decide on the _____ for the client – work on plea deal or go to hearing

➤ **Both**

- Insure the hearing is before an _____ (OK Case)

❖ **Graduation**

- Both parties should assist in planning and be _____ at graduation
 - _____ from PD/Defense Counsel and the District Attorney's Office
 - Give input on the ceremony
 - _____ when appropriate
 - Invite _____, the _____, and elected officials to garner support for the program